Guidance Document: 140-1 Revised: September 23, 2022 Effective: November 24, 2022

## Virginia Board of Social Work

## **Confidential Consent Agreements**

Health regulatory boards may resolve certain allegations of practitioner misconduct by Confidential Consent Agreement ("CCA"). This agreement may be used by a board in lieu of public discipline, but only in cases involving minor misconduct, where there is little or no injury to a patient or the public, and little likelihood of repetition by the practitioner. A CCA may be offered and accepted any time prior to the issuance of a notice of informal conference by the board. By law, the agreement document must include findings of fact and may include an admission or a finding of a violation and may be considered by a board in future disciplinary proceedings. A CCA, however, is not a public document, and cannot be disclosed by either the Board or the practitioner. See Va. Code § 54.1-2400(14).

A CCA cannot be used if the board determines probable cause exists that the practitioner has (i) demonstrated gross negligence or intentional misconduct in the care of patients, or (ii) conducted his/her practice in a manner as to be a danger to patients or the public. Additionally, only two CCAs may be entered into by one practitioner in a 10 year period. *Id*.

The Board of Social Work adopted the following list of violations that may qualify for resolution by a CCA.

Type of violation	Example
Advertising	A licensee or certificate holder using the title "Dr." without
	specifying "Ph.D.," "Ed.D.," or similar designation.
Continuing education	Insufficient or improper coursework to meet requirements.
	CCAs will not, however, be used in instances where a licensee
	untruthfully reported compliance.
Record keeping	Failure to record in a timely fashion; omission or inaccurate
	recording of dates, names, or times; and illegibility to the point
	of reasonably being unreadable.
	Providing information about a client to another person without
Inadvertent breach of	authorization. For example, responding to "what time is my
confidentiality	wife's appointment?" Such response acknowledges that the
_	licensee is treating the individual.
Failure to report a known	A licensee failing to report a known violation after being
violation	instructed by a non-licensee supervisor not to report.
Fees and billing issues	Charging more than originally agreed upon. This would also
	apply to unintentionally billing for the wrong date(s).
Practicing on an expired	Failure to renew but continuing to practice.
license for 90 days or less	